

PATENTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Migaku TAKAHASHI et al.

Serial No. 09/720,736
(PCT/JP00/08167)Application Branch
BOX PCT

Filed December 29, 2000

MAGNETIC THIN FILM, METHOD OF
PRODUCING AND METHOD OF EVALU-
ATING THE SAME AND MAGNETIC HEAD,
MAGNETIC RECORDING DEVICE AND
MAGNETIC DEVICE USING THE SAMEREQUEST FOR ISSUANCE OF FILING RECEIPTAssistant Commissioner for Patents,
Washington, D.C. 20231

Sir:

The above-identified application was filed on
DECEMBER 29, 2000. To date, we have not received the filing
receipt.

Attached hereto are copies of our Patent Office
cover sheet, Application Data Sheet, postcard with Patent
Office filing date stamped thereon and postcard with serial
number stamped thereon.

We await the filing receipt, or a duplicate thereof,
as soon as possible.

Respectfully submitted,

YOUNG & THOMPSON

By

Thomas W. Perkins
Attorney for Applicants
Registration No. 33,027
745 South 23rd Street
Arlington, VA 22202
Telephone: 703/521-2297

February 5, 2002

12/29/2000

ATTACHED IS A NATIONAL STAGE OF PCT/JP00/08167
PATENT APPLICATION.

Ref. OSP-10239

INVENTOR/S: Migaku TAKAHASHI, David DJAYAPRAWIRA and
Hiroki SHOJI

TITLE: MAGNETIC THIN FILM, METHOD OF PRODUCING AND METHOD OF
EVALUATING THE SAME AND MAGNETIC HEAD, MAGNETIC
RECORDING DEVICE AND MAGNETIC DEVICE USING THE SAME

09/720736

CONSISTING OF: PTO-1390 with

86 PAGES SPECIFICATION

38 CLAIMS

20 SHEETS DRAWINGS

X DECLARATION, ASSIGNMENT, PRELIMINARY AMENDMENT,

X PCT/RO/101, APPLN. DATA SHEET and CHECK NO. 18665 for

PLEASE INDICATE SERIAL NUMBER AND FILING DATE \$1,304.00

AT RIGHT.

lmt

COPY

528 Rec'd PCT/PTO 29 DEC 2000

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EQ/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER:
OSP-10239

U.S. APPLN. NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.:
PCT/JP00/08167

INTERNATIONAL FILING DATE:
20 November 2000

PRIORITY DATE CLAIMED:
31 May 2000 and
20 October 2000

TITLE OF INVENTION: MAGNETIC THIN FILM, METHOD OF PRODUCING AND METHOD OF EVALUATING THE SAME AND MAGNETIC HEAD, MAGNETIC RECORDING DEVICE AND MAGNETIC DEVICE USING THE SAME

APPLICANT(S) FOR DO/EQ/US: Migaku TAKAHASHI, David DJAYAPRAWIRA, and Hiroki SHOJI

Applicant herewith submits to the United States Designated/Elected Office (DO/EQ/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau. (see attached copy of PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Item 11. to 16. below concern document(s) or information included;
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A **FIRST** preliminary amendment.
 - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information:

PCT Request (PCT/RO/101)
Application Data Sheet

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP00/08167		ATTORNEY'S DOCKET NO. OSP-10239	
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<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00</p> <p style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> <th style="width: 10%;">\$</th> <th style="width: 30%;"></th> </tr> <tr> <td>Total claims</td> <td>38 - 20 =</td> <td>18</td> <td>X \$18.00</td> <td>\$</td> <td>324.00</td> </tr> <tr> <td>Independent claims</td> <td>4 - 3 =</td> <td>1</td> <td>X \$80.00</td> <td>\$</td> <td>80.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td>+ \$270.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$</td> <td>1,264.00</td> </tr> <tr> <td colspan="4">Reduction of ½ for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 CFR 1.27.</td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td>\$</td> <td>1,264.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.49(f)).</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td>\$</td> <td>1,264.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td>+</td> <td>\$ 40.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td>\$</td> <td>1,304.00</td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td colspan="2" style="text-align: center;">Amount to be refunded:</td> </tr> <tr> <td colspan="2" style="text-align: center;">charged:</td> </tr> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total claims	38 - 20 =	18	X \$18.00	\$	324.00	Independent claims	4 - 3 =	1	X \$80.00	\$	80.00	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$270.00	\$		TOTAL OF ABOVE CALCULATIONS =				\$	1,264.00	Reduction of ½ for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 CFR 1.27.				+	\$	SUBTOTAL =				\$	1,264.00	Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.49(f)).				\$		TOTAL NATIONAL FEE =				\$	1,264.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 40.00	TOTAL FEES ENCLOSED =				\$	1,304.00					Amount to be refunded:		charged:		CALCULATIONS PTO USE ONLY	
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a.	<input checked="" type="checkbox"/>	A check in the amount of \$ <u>1,304.00</u> to cover the above fees is enclosed.
b.	<input type="checkbox"/>	Please charge my Deposit Account No. 25-0120 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
c.	<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120 . A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

<p>Customer No. 000466</p> <p>YOUNG & THOMPSON</p> <p>745 South 23rd Street</p> <p>2nd Floor</p> <p>Arlington, VA 22202</p> <p>(703) 521-2297 facsimile (703) 685-0573</p>	<p>December 29, 2000</p>	<p>By _____</p> <p style="text-align: right;">Benôit Castel</p> <p style="text-align: right;">Attorney for Applicants</p> <p style="text-align: right;">Registration No. 35,041</p>
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